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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jie Liu et al.

Group Art Unit: 1774

Serial No.: 10/761,696

Examiner:

Filed: January 22, 2004

For CHARGE TRANSFER-PROMOTING
MATERIALS AND ELECTRONIC DEVICES
INCORPORATING SAME

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT**

- ☒ 1 **Pursuant to 37 CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit_____
Type or Print Name_____
Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☒ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☐ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

5/20/05

Date

General Electric Company
GEGR Patent Docket Rm. Bldg. K-1 Rm. 4A59
One Research Circle
Niskayuna, New York 12309
Customer Number: 006147

Respectfully submitted,



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FORM PTO-1449
(REV. 7-80)
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

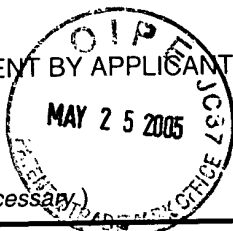
134198

SERIAL NO.

10/761,696

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--
LIST OF ITEMS

(Use several sheets if necessary.)



Applicant

Jie Liu et al.

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Group

1774

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA 4 0 3 3 8 5 2	07/05/77	Horowitz et al.			
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	No
	AL	1 2 4 9 6 6 8	12/24/68	Great Britain				
	AM							
	AN							
	AO							
	AP							

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	Roitershtein et al., J. Organomet. Chem. 1993, 460(1), pp. 39-45,
AS	Hoffman et al., J. Chem. Soc., Perkin Trans. 2, 1998, 7, pp. 1659-1664
AT	Hoffman et al., Mag. Res. in Chem., 2001, 39(8), pp. 489-494

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449
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	BB					
	BC					
	BD					
	BE					
	BF					
	BG					
	BH					
	BI					
	BJ					
	BK					

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	BL						
	BM						
	BN						
	BO						
	BP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

BR	SU522196A, Abstract , (LVOV POLY) see WPI abstract Acc. no. 1977-39447Y [22]
BS	DD237071A, (BUCH G.) see WPI abstract Ac. No. 1986-285047 [44]
BT	JP03040913A (STK Ceramics) see WPI abstract Acc. No. 1991-097640 [15]

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	CA					
	CB					
	CC					
	CD					
	CE					
	CF					
	CG					
	CH					
	CI					
	CJ					
	CK					

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							YES	No
	CL							
	CM							
	CN							
	CO							
	CP							

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	CR	JP59089308A (Japan Synthetic Rubber) see WPI abstract Acc. No. 1984-167074 [27]
	CS	JP59199705A (Toyo Soda) see WPI abstract Acc. No. 1984-316349 [51]
	CT	

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